**PATENTS** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s)**: Jack A. Mandelman, et al.

**Docket:** FIS920010258US1(14899)

Serial No.:

Unassigned

Dated:

October 4, 2001

Filed: Herewith

For: VERTICAL DRAM PUNCHTHROUGH STOP

SELF-ALIGNED TO STORAGE TRENCH

**Assistant Commissioner for Patents** 

Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

- U.S. Patent No. 6,025,224, issued February 15, 2000 to Gall, et al.; and 1.
- 2. U.S. Patent No. 6,051,468, issued April 18, 2000 to Hshieh.

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Dated: 10/30/01

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All the references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. §1.98(a)(3) is not necessary.

This Information Disclosure Statement is being submitted with the filing of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

feel & L

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